

## PROTOTYPE MIDTERM II, WINTER 2020 — COMPUTER SCIENCE 251

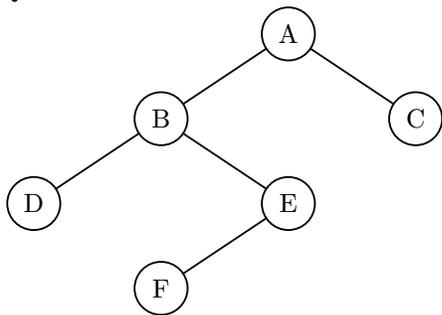
Examiner: Luc Devroye

Instructions: Calculators and computers are not allowed.

Time: 90 minutes.

Weight: Question 2 and 7 have slightly higher weight than the other questions.

### Question 1.



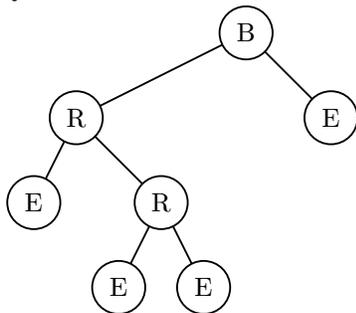
For the tree shown here, answer these questions:

- (i) Give the preorder listing.
- (ii) Give the postorder listing.
- (iii) Give the inorder listing.
- (iv) Give the least common ancestor of nodes D and F.
- (v) Give the Harris walk sequence.

**Question 2. Augmented binary search trees.** With the standard implementation using fields `left[.]`, `right[.]`, and `key[.]`, and augmented with subtree size information in the field `size[.]`, give an efficient non-recursive algorithm, `select(k, t)`, for finding the  $k$ -th smallest key stored in tree  $t$ . For simplicity, assume that  $t$  is not empty, and that  $k$  is in the allowed range (between 1 and the size of  $t$ ).

**Question 3. Binary heap.** A binary heap (with minimal key at the root) is implemented using cells that are connected with pointers, and fields `left[.]`, `right[.]`, and `key[.]`. Give an  $O(k \log k)$  algorithm for `smallest(k, t)`, that prints (but does not delete) the  $k$  smallest keys stored in the binary heap. Note that this complexity does not depend upon the size  $n$  of the binary tree. If this is too hard, get partial credit by giving an  $O(k \log n)$  algorithm.

### Question 4. Red-black trees.



For the (illegal) red-black tree shown here, the labels R, B and E refer to red, black, and external nodes, respectively. Rotate the red-black tree to fix the problem. Fill in the labels R, B and E in your solution.

**Question 5. Binary search tree.** We are given a binary search tree  $t$  with just pointers to left and right children, but no parent pointer. Its height is  $h$ . Without using key comparisons, and performing only  $O(h)$  computations in all, outline the strategy for an algorithm, `check(t, x, y)`, that checks whether  $x$  and  $y$  are consecutive nodes in an inorder traversal.

**Question 6. Interval trees.** We have an interval tree with root  $t$ , in which the fields in a cell are denoted by `left[·]`, `right[·]`, `color[·]`, `rank[·]`, `low[·]`, `high[·]`, `max[·]`. Recall that `max[u]` is the maximal `high` value in the subtree rooted at  $u$ . The following program is supposed to answer “yes” or “no” to the query: “Is a given interval  $[a, b]$  completely contained in an interval stored in the interval tree?” (An interval  $[a, b]$  is contained in  $[c, d]$ , written  $[a, b] \subseteq [c, d]$ , if  $c \leq a \leq b \leq d$ .) Only XXX, YYY and ZZZ are missing in the algorithm below. Here XXX is a comparison, and YYY and ZZZ are each one of these: `contained (left[t], [a,b])`, `contained (right[t], [a,b])`, “yes”, “no”. Please determine XXX, YYY and ZZZ. The program is recursive and should take time  $O(\log n)$  where  $n$  is the size of the tree.

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contained (t, [a,b])
  if t = nil, then return ‘no’
  if [a,b]  $\subseteq$  [low[t],high[t]] then return ‘yes’
  if left[t]=nil then return contained (right[t], [a,b])
  if a  $\leq$  low[t], then return contained (left[t], [a,b])
  else if XXX then return YYY
      else return ZZZ

```

**Question 7.**

- (i) True or false: A  $k$ -d tree a binary tree.
- (ii) True or false:  $2n$  bits suffice to store the shape of an ordered tree of size  $n$ .
- (iii) True or false: Any unsorted list of size  $n$  can be turned into a binary heap using  $O(n)$  comparisons.
- (iv) True or false: It is possible to turn any unsorted list of size  $n$  into a binary search tree using  $O(n)$  comparisons.
- (v) True or false: Given both a preorder and a postorder listing of the nodes of a binary tree, one can reconstruct that tree.
- (vi) If a node in a red black tree has rank 5, what are the possible ranks of its great-grandparent (i.e., three generations above)?
- (vii) In the binary comparison model, rank from small to large: (A) the worst-case time of quicksort, (B) the expected time of quicksort, (C) the worst-case time of mergesort.
- (viii) In a treap with  $n$  nodes, what is the expected number of nodes on the left roof? (An exact expression valid for all  $n$  is expected. E.g., for  $n = 1$ , the answer should be one.)
- (ix) If a tree of size  $n$  has only nodes with zero or two children, then how many leaves does it have?
- (x) If a tree of size  $n$  has only nodes with zero or three children, then how many leaves does it have?
- (xi) Consider a red-black tree whose root has rank  $r$ . What is the maximal number of nodes in the tree (including the external nodes)?